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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Franz Hofmann ET AL.

Appln. No.: Not yet assigned

Filed: Herewith

For: SEMICONDUCTOR  
COMPONENT WITH  
CONDENSATORS BURIED IN  
THE SUBSTRATE AND  
INSULTATED COMPONENT  
LAYER THEREOF

Examiner: Not yet assigned

Art Unit: N/A

Attorney Docket No: 10808/213

## INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
6,441,424 B1	August 27, 2002	Klose et al.
6,306,719 B1	October 23, 2001	Lee
5,739,565	April 14, 1998	Nakamura et al.
5,055,898	October 8, 1991	Beilstein, Jr. et al.
<b>FOREIGN PATENT DOCUMENTS</b>		
DOCUMENT NUMBER	DATE	COUNTRY
DE 101 33 688 A1	September 26, 2002	Germany
DE 100 55 711 A1	May 23, 2002	Germany
WO 99/25026	May 20, 1999	WO
EP 0 921 572 A1	August 13, 1998	EPO
0 553 791 A1	August 4, 1993	EPO
02035770	February 6, 1990	Japan
63151071	June 23, 1988	Japan
<b>OTHER ART – NON PATENT LITERATURE DOCUMENTS</b>		
Copy of International Search Report from corresponding International application number PCT/DE03/03044.		
Copy of Examination Report from corresponding International application number PCT/DE03/03044.		

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be

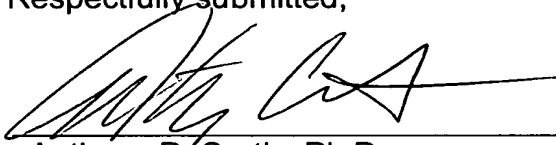
necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

2/8/05  
Date

  
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Anthony P. Curtis, Ph.D.  
(Reg. No. 46,193)

FORM PTO-1449	SERIAL NO. Not yet assigned	CASE NO. 10808/213
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE Herewith	GROUP ART UNIT Not yet assigned
(use several sheets if necessary)		APPLICANT(S): Franz Hofmann et al.

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,441,424 B1	August 27, 2002	Klose et al.		
	A2	6,306,719 B1	October 23, 2001	Lee		
	A3	5,739,565	April 14, 1998	Nakamura et al.		
	A4	5,055,898	October 8, 1991	Beilstein, Jr. et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A5	DE 101 33 688 A1	September 26, 2002	Germany		
	A6	DE 100 55 711 A1	May 23, 2002	Germany		
	A7	WO 99/25026	May 20, 1999	WO		
	A8	EP 0 921 572 A1	August 13, 1998	EPO		
	A9	0 553 791 A1	August 4, 1993	EPO		
	A10	02035770	February 6, 1990	Japan		
	A11	63151071	June 23, 1988	Japan		

## OTHER ART – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL		(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	A12	Copy of International Search Report from corresponding International application number PCT/DE03/03044.
	A13	Copy of Examination Report from corresponding International application number PCT/DE03/03044.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.